

Roger H. Hartmann  
Chief Executive Officer (CEO)  
Verwaltungs- und Privat-Bank Aktiengesellschaft, Vaduz



## Curriculum Vitae



### Personal Details

Name: Hartmann  
First name: Roger H.  
Date of birth: July 16, 1957  
Nationality: Swiss

### University Degrees

2000 Advanced Management Program, The Wharton School, University of Pennsylvania, USA  
1981 Master in Economics, Ecole des HEC, University of Lausanne, Switzerland

### Professional Experience

Starting April 2010 Chief Executive Officer (CEO), Verwaltungs- und Privat-Bank Aktiengesellschaft, Vaduz  
2008–2010 Partner at Ernst & Young, Luxembourg  
2001–2007 Chief Executive Officer (CEO), UBS (Luxembourg) S.A., Luxembourg  
2000–2001 Head of Business Management and Chief of Staff, Business Area Europe, Middle East & Africa, UBS, Geneva  
1998–2000 Head of Wealth Management, UBS, Singapore  
1998 Head of Business Management Business Unit Asia-Pacific, Project Manager for the UBS/Swiss Bank Corporation merger of the Asia-Pacific region  
1997 Country Team Head Taiwan and Deputy Regional Market Manager Business Sector Asia-Pacific  
1995–1996 Head of Business Management, Business Sector Asia-Pacific, Singapore, official move to Wealth Management

### Professional Experience (continued)

|           |   |
|-----------|---|
| 1987–1995 | Head of Large Corporates/Institutionals Team, Business Banking, Swiss Bank Corporation, Lausanne                |
| 1987      | Management Training Program, Swiss Bank Corporation, New York   |
| 1985–1986 | Credits Switzerland, General Management, Swiss Bank Corporation, Basel  |
| 1984–1985 | Account Officer, "Commodity & Trade Finance", Swiss Bank Corporation, Lausanne                                  |
| 1983–1984 | Academic Trainee Program, Swiss Bank Corporation, Lausanne  |
| 1981–1983 | Assistant in Economics, Law School, University of Lausanne, Prof. Dr. F. Schaller, Lausanne and Berne Faculties |

### Professional Organization Memberships

|            |   |
|------------|---|
| Since 2002 | Member of the Board of Directors, Luxembourg Bankers' Association (ABBL) and Chairman, Group of Swiss Banks established in Luxembourg from 2002 to 2007 |
| Since 2002 | Member, Banks Committee and Anti-Money Laundering Committee of CSSF (Commission de Surveillance du Secteur Financier)                                   |
| Since 2008 | Member, COBMA (Comité pour l'Observation des Marchés)   |
| Since 2009 | Member, Ad Hoc Working Group on New Depositor Protection Intervention Framework (now AGDL)  |
| Since 2002 | Member, Steering Committee representing the banking sector at the Luxembourg School of Finance (LSF), University of Luxembourg (business school)        |
| 1999–2000  | Member, Steering Committee of the joint MBA Programme St. Gallen/Nanyang University, Singapore  |
| 1983–1995  | Federal Expert for the Swiss Banking Diploma, Berne   |

### Board Memberships

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| Since 2009 | Board Member, Luxembourg NGO "Pour un Sourire d'Enfant"                  |
| Since 2009 | Founding Member, Rotary Club Schuman, Luxembourg                         |
| Since 2006 | Chairman, Wharton Club Luxembourg, a sub-entity of Wharton Club Paris    |
| Since 2005 | Vice-Chairman of the Board, CHL Figure Skating Association, Luxembourg   |
| 1990–1995  | Board Member, Alumni Association of HEC Lausanne, University of Lausanne |
| 1981–1985  | Chairman of the Board, Liberal Youth Party (FDP), Canton of Vaud         |

### Teaching Activities

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| Since 2004 | Visiting Professor, Luxembourg School of Finance, Faculty of Law, Economics and Finance, University of Luxembourg |
| Since 2008 | Visiting Professor, Europainstitut of the University of Basel   |
| Since 2008 | Regular Speaker at private banking conferences worldwide via ABBL and the European Banking Federation             |